

IN THE UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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JOHN J. FIERO and FIERO BROTHERS, INC.,	X	
	X	
Plaintiffs,	X	
	X	
-against-	X	Case No. 08-CV-1298 VM
	X	
FINANCIAL INDUSTRY REGULATORY	X	FINRA'S RULE 7.1
AUTHORITY, INC.,	X	DISCLOSURE
	X	
Defendant.	X	
-----	X	

Financial Industry Regulatory Authority, Inc. states that it is a private, not-for-profit Delaware corporation that has no stock or parent corporation. No publicly held corporation owns 10% or more of any FINRA stock.

Dated: August 4, 2008
Washington, D.C.

FINANCIAL INDUSTRY REGULATORY
AUTHORITY, INC.

/s/ Terri L. Reicher
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